



PATENT
DOCKET NO. 3524/30
Application of BEN-LEVY, *et al.*
Serial No. 09/943,442

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: BEN-LEVY, *et al.*
Serial No.: 09/943,442
Examiner: Not Yet Assigned
Group Art Unit: 2164
Filing Date: August 30, 2001
Title: **COMPUTER TRADING OF FINANCIAL INTERESTS**

Commissioner for Patents
Washington, D.C. 20231

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

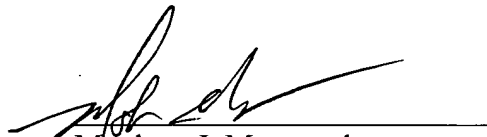
Applicant respectfully submits hereby a Second Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the Applicant's undersigned Attorney.

Each document listed was cited by the International Search Authority in a related PCT International Patent Application. The remaining references cited in the related Application have already been cited in this Application by Applicant.

This IDS is being filed under 37 CFR 1.97(b), before the mailing date of a first Office Action on the merits, thus, no fee is due. The Commissioner is hereby authorized to charge any deficiency, or to credit any overpayment, in any fee required herein, or at any point during the pendency of the application, to the undersigned attorney's Deposit Account No. 02-4270.

Dated: 4 MARCH 2002

Respectfully Submitted,



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Sheet 1 of 1

INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.:	Application Serial No.:
	3524/30	09/943,442
	Applicants: BEN-LEVY, <i>et al.</i>	
	Filing Date:	Group Art Unit:
	August 30, 2001	2164

U.S. PATENT DOCUMENTS

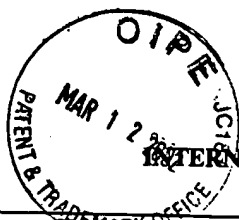
Exam. Init		Document Number	Date	Name	Class	Subclass
		6,317,727	Nov. 13, 2001	May		

FOREIGN PATENT DOCUMENTS

Exam. Init.		Document Number	Date	Country	Class	Translation

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Examiner:	Date Considered:
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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US01/27137

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06F 17/60

US CL : 705/37

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 705/1, 705/35, 705/37, 705/50, 705/51, 705/54

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
Please See Continuation Sheet

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,915,209 A (LAWRENCE) 22 June 1999 (22.06.99), abstract, figures 1, 4-8; column 5, lines 1-33; column 6, lines 6-33 and 48-53; column/line 7/62-8/29; column 9, lines 13-61; column 11, lines 3-38; column 12, lines 12-53; column/line 13/45-14/20; column 15, lines 60-67	1-41
Y	US 5,809,483 A (BROKA et al.) 15 September 1998 (15.09.98), abstract : figures 2, 4, 5, 8-10, 12-15, 18(a)-19, 21(a)-(b), 30-31(b), 39, 40, 46-48, 51, 52, 56, 58; column 4, lines 40-67; column/line 7/1-8/18; column 10, lines 1-67; column/line 11/25-12/8; column 14, lines 6-30; column 15, lines 4-49; column 17, lines 60-67; column 20, lines 35-65; column 22, lines 16-65; column/line 23/20-24/45; column/line 24/46-25/30	1-41
Y,P	US 6,317,727 B1 (MAY) 13 November 2001 (13.11.01), abstract; figures 1-3, 7-9B, 13-14A, 23; column/line 23/1-24/65	1-41

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

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"P" document published prior to the international filing date but later than the priority date claimed

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

15 December 2001 (15.12.2001)

Date of mailing of the international search report

11 JAN 2002

Name and mailing address of the ISA/US

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